**Manuscript ID: CHEST-21-3596**

**Title:** Carriage and transmission of macrolide resistance genes in patients with chronic respiratory conditions and their close contacts

We would like to sincerely thank the Chest Editorial Team, the three external reviewers, and the statistical reviewer for the detailed evaluation of our manuscript. We provide a point-to-point response (black text) to each of the reviewer comments (blue italic text) below.

***Reviewer 1:***

*With great interest I've read this really interesting paper on macrolide resistance genes. The authors have written a very good paper. The research has some important limitation, but the authors have all discussed them in the "discussion" session. Therefore, I have only a few less important remarks:*

*1. Do the authors have data or literature to support the statement in the Methods that a 6 month “wash-out” is enough to go back to a “baseline” situation?*

We defined macrolide non-recipients as individuals who had not received any macrolide therapy in the 6 months prior to enrolment, a position that was based on the available literature. Specifically, Malhotra-Kumar *et al.* 2007, showed that levels of macrolide resistance genes had returned to their baseline values at 6 months post treatment (PMID: 17292768). This report was further supported by a meta-analysis undertaken by Costelloe *et al.*, 2010 (PMID: 20483949).

*2. The authors have a 4-week wash-out for other antibiotics but how about e.g. clindamycin (Lincosamides) in this erm setting*

We can confirm that no participants (patients or close contacts) were exposed to lincosamides during the 4-week wash-out period.

*3. In the cohort overview results, the authors mention a 12-month interval used for macrolide as an exclusion but in the methods it’s 6 months. Could the authors explain this discrepancy?*

We apologise for this error. To clarify, all patients in the macrolide non-recipient group had not received any antibiotic in the 6 months prior to sample collection. We have now revised the manuscript to reflect this (See main manuscript: page ? and line ?).

*4. Could the authors give more detail on the relationship between patients and controls? Are they siblings or spouses or others? This might influence contact. I imagine that transmission risk is different between a friend you see frequently or your sibling or your partner… Do the authors have details and could this have influenced the data (e.g. more similarity between partner vs friends?)*

The reviewer raises an important issue on the relationship between the index patient and their close contact. We defined a close contact as either 1) a household contact (cohabitant) who lived with the patient for the proceeding 6 months or 2) a regular contact, being a family member (parent or children or sibling or partner) or friend who has had close contact with the patient more than 2 times per week over proceeding 2 years. Given that there was no existing standard definition, we set out our working definition in the study protocol.

In the table below (Table R1), we provide information on the nature of relationships between patients and close contacts. This table is now included as Table SX in the revised manuscript. Of note, 92% (86/93) of close contacts were household contacts and were either family members or partners.

While the level of interaction between individuals is likely to influence AMR transmission risk, our study was not designed to investigate these relationships in detail. However, for the reviewer’s interest, we have performed exploratory sub-analysis, only including household contacts (e.g. excluding the 7 contacts that do not share the same household. In summary, the results remained unchanged, with macrolide therapy associated with significantly higher *erm*(B) in patients, and evidence of co-carriage within the macrolide arm for *erm*(F) and *mef*.

In acknowledgement of the importance of contact between participant pairs, we have now included the relationship between pairs in the online supplement, as well as highlight the potential for these relationships to influence AMR transmission in the discussion (See main manuscript: page ? and line ?)

**Table R1.** Relationship between patient and close contact

|  |  |  |  |
| --- | --- | --- | --- |
| **Contact levels** | **Proportion** | **Relationship types** | **Proportion** |
| Household contact  (>6 months) | 86/93 | Family members  (Parent, siblings and children) | 28/86 |
| Partner, spouse or *de facto* | 58/86 |
| Friend | 0/86 |
| Regular contact  (>2 times per week in the last 2 years) | 7/93 | Family members  (Parent, siblings and children) | 3/7 |
| Partner, spouse or *de facto* | 1/7 |
| Friend | 3/7 |

***Reviewer 2:***

*Wang and colleagues seek to assess whether long-term macrolide therapy poses a risk for onward transmission of resistance genes in patients with chronic respiratory disease and their co-inhabitants. Though limited in scope (focusing on a select number of macrolides + tetracycline genes by qPCR), I can’t fault the technical execution of the molecular methods presented. I have some concerns about the experimental design, analysis, and conclusions.*

*That the relative abundance of ermB is higher in MR and MNR group. However, they also tested 9 additional resistance genes (multiple hypothesis testing) and I don’t see where this has been adjusted for. With adjustment, significance will probably be lost.*

Our initial analysis was performed without adjustment. However, in Table R2, below, we provide revised outcome tables that included corrections for false discovery (Benjamini and Hochberg method). As these data show, differences in *erm*(B) levels between MR and MNR group was not significant following FDR adjustment. We have amended the manuscript to reflect this (See main manuscript: page ? and line ?).

It is important to again highlight that the novelty of our study lies in the detection and quantification of AMR genes in healthy close contacts and the macrolide effects on onward transmission risk. The non-significance of *erm*(B) differences between MR and MNR group after FDR correction therefore does not affect our principal outcomes substantially. Our main finding, that long-term macrolide use was not significantly associated with detection of macrolide resistance genes in close contacts, remains true after correction for multiple testing. We agree that indicating whether statistical findings were significant both before and after FDR correction provides the greatest clarity and have revised all results to reflect this.

**Table R2.** Normalised resistance gene abundance in patients stratified by macrolide use.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Resistance gene** | **MR** | **MNR** | ***P* value** | **Corrected *P* value** |
| *erm*(A) | 0 (0.0-10.5) | 0 (0.0-7.1) | 0.39 | 0.46 |
| *erm*(B) | 7.5 (0.0-12.4) | 6.9 (0.0-10.8) | 0.045 | 0.35 |
| *erm*(C) | 0 (0.0-13.2) | 0 (0.0-8.0) | 0.14 | 0.37 |
| *erm*(F) | 7.6 (0.0-12.4) | 6.2 (0.0-11.9) | 0.22 | 0.37 |
| *mef* | 4.4 (0.0-6.7) | 3.9 (0.0-7.5) | 0.20 | 0.37 |
| *msr*(A) | 0 (0.0-13.2) | 0 (0.0-9.1) | 0.15 | 0.37 |
| *msr*(E) | 7.3 (0.0-13.0) | 5.7 (0.0-15.9) | 0.07 | 0.35 |
| *tetM* | 5.9 (0.0-8.9) | 5.5 (2.1-7.6) | 0.42 | 0.46 |
| *tetO* | 6.4 (0.0-10.5) | 6.3 (0.0-12.3) | 0.39 | 0.46 |
| *tetW* | 5.2 (2.7) | 4.8 (2.2) | 0.46 | 0.46 |

*2. “Onward transmission” of resistance genes, i.e. (that is) increased relative risk of resistance gene co-detection in co-habitants of patients on long-term macrolide therapy. However, onward transmission is somewhat speculative since it is not really possible to establish “transmission” of a resistance gene by the methodology employed. A patient could have acquired the resistance gene from their co-inhabitant initially for example. We are really looking at the effect of “household antibiotic use” on risk of resistance detection in untreated individuals. The word transmission is misleading. One would need to isolate a resistant organism from both patient and co-inhabitant and test this by strain typing or WGS analysis (as a start).*

We agree with the reviewer regarding the challenge of distinguishing between co-detection and transmission. We were careful to frame our assessments as being based on measures that are consistent with transmission and therefore support the potential for its occurrence, rather than saying definitively whether transmission had occurred. It is important to note that, while co-detection does not necessarily indicate that transmission has occurred, the absence of co-detection strongly suggests that it has not. Given our findings show that macrolide use was not a significant predictor of resistance gene co-detection between patients and close contacts, we feel that our conclusion that macrolide therapy was not associated with evidence of onward transmission is accurate.

As the reviewer notes, demonstrating transmission is extremely challenging, particularly when based on cross-sectional data. In our revision, we highlight that the methodology of this study allows us to test whether onward transmission has not occurred. We further add that, had we identified transmission, further longitudinal studies would be needed to support evidence of transmission. This is included in page X, line X and pasted below.

*“**We do not show that macrolide use was associated with co-detection of resistance genes, indicating an absence of macrolide-associated onward transmission. Had we identified evidence that macrolide use was associated with co-detection transmission between patients and close contacts, further studies would be needed to support any conclusion that onward transmission occurred. This is because co-detection does not equate transmission. Such studies would necessitate longitudinal studies, with precise methods to detect signatures of transmission, such as strain typing from cultured isolates. However, a lack of co-detection does strongly reflect a lack of onward transmission and support the conclusions of this study.”*

*3. “Carriage and transmission of resistance genes” For macrolides, this is an incredibly difficult question to address by the applied methodology given the apparently high prevalence of macrolide resistance in the environment/microbiome. Macrolide and Tetracycline resistance genes are frequently the most highly detected resistance genes reported in resistome studies. Thus, even healthy individuals may harbour these genes anyway, perhaps through maintenance via other, as yet unrecognised, selective pressures.*

We agree that macrolide and tetracycline resistance genes are highly prevalent in both environmental and human microbiome datasets. Certainly, had they been detected in all, or almost all, of the samples assessed here, our ability to draw meaningful conclusions would have been substantially reduced. Such high detection frequency was observed for *tetM* and *tetW*, detected in 179/186 and 157/186 samples, respectively, in line with that reported in other studies (PMID: 17292768, 30875247). Similarly, genes rarely detected would have necessitated a much higher sample size to detect evidence of transmission. However, the detection of key macrolide resistance genes were prevalent, but not ubiquitous, allowing us to test the effect of macrolide use on detection in patients, abundance in patients, and co-detection with close contacts. We now highlight these considerations in our revised manuscript (See main manuscript: page ? and line ?).

*4. There seem to be many unanswered questions that the study fails to address. Just looking at a few resistance genes at a single anatomical site is somewhat limited in scope considering the complex effects of antibiotics on the microbiome/resistome. That “long-term term antibiotic macrolide therapy was not associated with increased risk of acquiring macrolide resistance genes”. A major shortcoming is that only macrolide/tetracycline resistance was assessed.*

We agree, the relationships between antibiotic exposure, commensal microbiota, and the dispersion of resistance determinants, is extremely complex and that limiting assessment to macrolide/tetracycline resistance determinants represents a limitation of this study (as noted in our discussion section). We selected these macrolide and tetracycline genes for a number of reasons: 1) All genes were derived from previous metagenomic assessment we (and others) have undertaken in the context of long-term macrolide use was used to select these genes (PMID: 30875247, 30151191, 32320621). 2) An *a priori* defined list of genes enabled a more focused investigation of the association of these genes with macrolide use. As each gene has been previously shown to be selected for with macrolide use, investigating onward transmission associated with macrolide use could be more targeted. 3) These genes are not only found in opportunistic respiratory pathogens such as *Streptococcus pneumoniae*, *Staphylococcus aureus*, *Haemophilus influenzae* and *Moraxella catarrhalis*, but are also located on mobile genetic elements that can facilitate horizontal transmission. For example, *erm*(A), *erm*(C) and *msr*(A) are found in *S. aureus* across three separate mobile genetic elements (PMID: 20668911, 9809423), while *erm*(B) and *mef*(A) are found on Tn2010 and Tn1207.1 in *S. pneumoniae* (PMID: 27709102, 15328112).

With respect to our study’s focus on a single anatomical site, the reviewer is correct in that assessment of multiple sites may provide a greater depth of analysis and potentially identify evidence that macrolide use influences gene co-detection between patients and close contacts. We selected oropharyngeal swabs as the oropharynx represents a site with high potential for transmission of antimicrobial resistance genes and respiratory pathogens. We have further shown that macrolide use influences carriage of resistance genes in the OP (PMID: 29669883)

*5. Macrolide exposure can co-select for other resistance genes which may be an even greater concern (thinking of MDR plasmids etc.).*

We agree that macrolide exposure can co-select for other resistance genes, including those carried on MDR plasmids. Indeed, this was the rationale for examining levels of tetracycline resistance genes. For example, *tetM* is found on the same mobile genetic element Tn916 as *erm*(B) in erythromycin-resistant viridans group *streptococci* (PMID: 7648031; PMID: 15328112). As another example, *tetO* and *mef* were both identified on a Tn1207.1-like transposon in *Streptococcus pyogenes* clinical isolates (PMID: 15563518, PMID:15837373). We include discussion of this important point in lines XXX.

*6. Further, the lung microbiome is relatively stable under antibiotic treatment and the gut is less so. The impact of macrolides on the gut may be much more relevant in terms of potential transmission of resistance. I understand that the focus is on the airway but what happens in the gut seldom stays in the gut, where resistance is concerned.*

We agree with the reviewer’s observations regarding the relative impact of antibiotic exposure on respiratory and gut microbiota, and recognise that the gut represents an important potential route of onward transmission. Indeed, a study by Dessein *et al.* showed that oral vancomycin had minimal effect on lung microbiota alpha diversity in mice, while the stool microbiota was substantially altered (PMID: 33076936). We have also shown that the fecal resistome of individuals with cystic fibrosis acts as a reservoir of aminoglycoside resistance, reflecting the high tobramycin use in CF individuals (PMID: 33250435). However, our decision to measure the impact of macrolide therapy on carriage and co-detection of resistance within the oropharynx was based on a number of considerations. Firstly, the effect of macrolides on the oropharyngeal microbiota and AMR carriage is widely acknowledged (PMID: 29669883, 17292768, 20483949), providing a sound basis to extend this analysis and test whether co-detection within close contacts was also apparent. Secondly, the oropharynx represents a site with high potential for transmission between patients and close contact. For example, a study in army residents indicated that the number of members of a household was an independent risk factor for oropharyngeal carriage of *S. pneumoniae* (PMID: 21676361). Thirdly, alterations to the oropharyngeal microbiome have clinical implications for respiratory tract infections. This was nicely demonstrated by Man *et al.,* where disruption to the upper respiratory tract microbiome was predictive of subsequent lower respiratory tract infection (PMID: 30885620).

In response to this comment, we expand on our discussion of the importance of the human microbiome (including the gut) as a potential transmission of resistance in the revised manuscript (See main manuscript: page ? and line ?).

*7. In summary, the authors assess the impact of antibiotic therapy on macrolide/tetracycline resistance gene carriage/transmission in the airway and fail to detect a convincing signal that might suggest this is a real clinical problem. However, the study completely overlooks both other (non-macrolide/tetracycline) resistance mechanisms as well as the selective pressures placed on the intestinal microbiome and the risk of transmission of resistant gut pathogens. The authors have not sufficiently assessed this element and I’m concerned that it conveys a false message e.g. “macrolide selection is probably a non-issue”. Antibiotic selection in the gut (initially on commensal species) likely preceded the global dissemination of cephalosporinase resistance genes (i.e. CTX-M-15), for example. The effect of antibiotic selection in the airway and corresponding impact on AMR dissemination has yet to be concussively investigated. Thus, not looking at the gut, in the context of an AMR study is an oversight if onward transmission is the focus. Further the narrow range of analysis (10 genes) in the lung is a concern. Many other relevant resistance events could have been missed.*

We thank the reviewer for their comments and each point has been addressed above.

***Reviewer 3:***

*The authors address the issue of whether long term macrolide therapy increases macrolide resistance and whether macrolide resistance increases in close contacts. There are a number of papers showing increased macrolide resistance and resistance genes with macrolide use, so the novelty lies in the issue of whether this spread.*

*1. I am not sure that clinical readers will understand the difference between gene detection and gene abundance, and perhaps some mention of qualitative vs quantitative or presence/absence vs total amount is appropriate for readers not familiar with microbiome work.*

Assessment of both AMR gene detection and the abundance of AMR genes are important aspects of our analysis. Of the various terms to describe these, we feel that detection and abundance are the most succinct and clear terms. To aid the non-specialist reader, we provide these definitions at the first use of each term (see below) (See main manuscript: page ? and line ?).

*Detection: A qualitative term relating to the presence or absence of a gene based, within the sensitivity limits of the assay used*.

*Abundance: A quantitative term relating to the amount of a gene measured, which has been normalised to the abundance of the 16S rRNA bacterial gene.*

*2. For a clinical journal I think you need to put your data into a clinical context - what does all this mean in terms of clinically significant resistance in bacteria that would normally be treated by an oral macrolide (the real concern about community macrolide resistance). especially S.pneumoniae and other common streptococci.*

\* Clinical authors to consider and respond

*3. There is no comment about whether the close contacts had administration of macrolides within a reasonable period (say 12 months) of sampling. With not vast numbers, this is a potential confounding variable.*

No close contact had received any macrolide in the 6 months prior to the study, a period that was chosen based on the existing literature (e.g. PMID: 17292768, 20483949). Details of the inclusion and exclusion criteria for subject recruitment was initially included in our online supplement (See online supplement: page ? and line ?). In the amended manuscript, we have now included inclusion and exclusion criteria it in the methods section (See main manuscript: page ? and line ?), and included below.

*“Close contacts were defined as someone who was either a close household contact (partner or family members) who has lived with the patient for the preceding 6 months or was a close family member (parent or children or sibling or partner) or friend who has had close contact with the patient at least twice per week over the preceding 2 years. Full details of the relationship between close contact and patient is provided in Supplementary Table X. Close contacts were grouped according to the macrolide usage of the patient: a close contact of a macrolide recipient (MRCC); or a close contact of a macrolide non-recipient (MNRCC). Close contacts were excluded if they had received macrolides in the previous 6 months or had received antibiotics (any class) or hospital treatment in the prior four weeks. Further details of subject inclusion and exclusion criteria are provided in the Online Supplement e-Appendix 1.”*

*4. With respect to the key issue of "does this resistance transfer to others, the key issue is whether the sample size is adequate to reach the conclusion of "no it doesn't". It is not defined what you would have considered sufficient gene transfer of resistance to be clinically or even epidemiologically relevant.*

The reviewer raises a very important point that the authors have discussed at length. The clinical implications from this study are difficult to define. Macrolide resistance is a concern clinically in the management of sexually transmitted infections, non-tuberculous mycobacterium infections, and community acquired pneumonia where penicillin allergy is a concern. In these situations, we consider any increase in carriage of macrolide resistance a clinical concern.

To explain our study sample size calculation, we powered our study based on findings from the BLESS randomised controlled trial (PMID: 23532242). This trial reported a mean increase of 44% in oropharyngeal macrolide resistance after 1 month of erythromycin therapy and a baseline SD of 18%. We assumed transmission of macrolide resistance from index cases to cohabitants to be incomplete, occurring in an estimated 25% of cases. From this calculation, 44 pairs of patients and close contacts in each arm would provide 80% power at an alpha of 0.05 to demonstrate an 11% difference in proportional macrolide resistance rates between case-contacts and control-contacts.

We, however, did not see an 11% difference in co-carriage between groups. Of the 10 resistance genes we assessed, we can use the example of *mef*, whichis a gene associated with macrolide resistance in common respiratory pathogens. Here, macrolide use was associated with co-detection of *mef* in 29/53 pairs, while no macrolide use was associated with co-detection in 21/40 pairs. This led to only a 2.2% increase in co-detection events in the macrolide group. While assigning a clinical or epidemiological weight to this is difficult, we now include in the discussion a detailed explanation of our results (page X, line X), and copied below. We believe this discussion now presents the findings in a more comprehendible manner.

*5. Given you did show erm and mef detection was more common in contacts of macrolide users, I am not clear at all how you can justify your conclusion that resistance is not trasnmissable into the community - in fact the opposite finding would appear to be supported by your data - as you acknowledge in your discussion. The last sentence of the abstract is therefore far too strong and not supported by your data.*

As a reference for the reviewer, the last sentence our abstract states: “However, macrolide use was not associated with increased macrolide resistance gene detection rate and there was no evidence that long-term macrolide use increases the onward transmission risk to their close contacts.”

This conclusion was reached based on the logistic regression model we performed. In this model, treatment group was included as an independent variable, and gene co-occurrence was included as the dependent variable. We found that treatment group did not significantly explain co-occurrence of any AMR gene assessed. We therefore conclude that macrolide use was not associated with evidence of transmission in this study.

The findings that the reviewer is referring to is the within group analysis. As the reviewer correctly identifies, here, we found that *erm*(F) and *mef* were significantly co-detected in the macrolide recipient group. However, this is not sufficient evidence that macrolide use is responsible for this co-selection, merely that genes were more likely to be co-detected in patients and close contacts.

Throughout the manuscript, we attempt to provide these findings (and their interpretation) as clearly as possible. In the revised manuscript, we now include additional explanation to clarify our findings and our interpretation. (See main manuscript: page ? and line ?)

*6. In the discussion I think you have to note that the community data you have is an Australian context. Australia has seen much less use of oral macrolides, and especially problematic ones like Azithromycin, than has, for example, the US and hence international surveillance data typically shows much less clinical macrolide resistance in your population.*

The variation in macrolide use globally is an important consideration for this study and we thank the reviewer for this comment. As the reviewer notes [Australian prevalence of use compared to US/Europe]. In the discussion section of our revised manuscript, we highlight differences in macrolide use between regions and the implications of these variations for the interpretation and clinical significance of our findings (page X, line X). This text is copied below.

*7. Very few of the close contact groups had any significant chronic lung disease. Do you think this may exert a protective effect on macrolide resistance transfer because they will not have as disordered a microbiome? We know that CF and bronchiectasis patients can and do transfer multi-resistant organisms to each other, but not to healthy contacts. This should at least be reflected on in the discussion.*

This is a really interesting area. It has certainly been shown previously that disruption of commensal microbiota increases the risk of acquisition of resistance when exposure occurs (PMID: 30383203). In addition, altered mucus production and airway clearance are also likely to influence susceptibility.

We agree with the reviewer that unperturbed gut microbiome in healthy close contacts provides colonisation resistance, which is able to prevent the expansion of potential pathogens (PMID: 29988120); however, it is unknown whether this effect applies to upper respiratory microbiomes which on the whole appear to be more resistant to perturbation even with antibiotic use (PMID: 33076936).

Better understanding AMR transmission between those with chronic lung disease is clearly extremely important, including between those attending the same respiratory clinic or between siblings with CF, for example. Investigating these issues would require a different study design to that employed here and therefore we feel it would be inappropriate for use to comment on these issues in detail in the manuscript. However, this issue should be investigated further in specific studies of where there is close contact between individuals with chronic lung diseases. In light of this comment, we have included discussion of the potential protective effects of healthy oropharyngeal microbiome on macrolide resistance transfer as well as the need for investigations of transmission risk among people with chronic lung diseases. This is included in the revised manuscript (page ? and line ?) and copied below.

***Statistical Review Comments:***

*The primary objective of this prospective study is to estimate and compare macrolide resistance gene detection rates and abundances between MR, MNR, MRCC, and MNRCC cohorts. Study design, data collection, primary endpoints, and statistical methods were clearly described. Logistic regression models were used to associate cohorts with detection and transmission status. Results were presented adequately and clearly. Conclusions were drawn appropriately. I have one minor suggestion (not a concern or question):*

*1. Seems authors could try logistic GEE model to estimate and compare 4 cohorts (MR, MNR, MRCC, and MNRCC) in one model with respect of resistant genes detection. This would be more efficient than first comparing within treatment pairs then between treatment groups.*

In progress